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Abstract	<p>In Old French, genitive structures both mirrored and differed from those found in Modern French. Prepositional genitives were found (i.e., <i>la nièce au duc</i>, <i>la nièce du duc</i> both ‘the duke’s niece’), but there were also structures without prepositions, the juxtaposition genitive, JG (cf. Arteaga D. On Old French genitive constructions. In: Amastae J, Goodall G, Montalbetti M, Phinney M (eds) Contemporary research in Romance linguistics. J. Benjamins, Amsterdam, pp 79–90, 1995; Arteaga D, Herschensohn J. A phase-based analysis of old French genitive constructions. In Colina S, Olarrea A, Carvalho AM (eds) Romance Linguistics 2009: selected papers from the 39th annual conference of the Linguistic symposium on the romance languages. J. Benjamins, Amsterdam/Philadelphia, pp 285–300, 2010; Delfitto and Paradisi 2009) type <i>la nièce duc</i> or <i>la/le duc nièce</i>.) In an analysis focusing on the evolution of the genitive, we propose that the JG in Old French has directly inherited the same structure in Latin, although Latin had no definite article. In later OF, when case endings ceased to be pronounced, case had to be checked by a preposition. At that point, children no longer had the morphological cues (Lightfoot D. The development of language: acquisition, change, and evolution. Blackwell, Oxford, 1999) to assign a genitive meaning to the possessor, the JG was lost. The reason for the narrowing of the <i>à</i> genitives can be explained by the fact that dative <i>à</i> has always been limited to persons (Herslund M. Problèmes de syntaxe de l’ancien français. Compléments datifs et génitifs. Akademisk Forlag, Uppsala, 1980).</p>
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Chapter 2

A Diachronic View of Old French Genitive Constructions

[AU1,2] Deborah Arteaga and Julia Herschensohn 4



[AU3] **2.1 Introduction** 5

[AU4] As noted by Arteaga (1995), Delfitto and Paradisi (2008, henceforth D and P), Arteaga and Herschensohn (2010, henceforth A and H), Old French (OF) and Modern French (Mod FR) shared certain genitive structures, but not others. For example, OF, like Mod FR, had a wide range of genitive structures including those with *à*, (type *un ami à moi* ‘a friend of mine’) and those with *de* (type *la tête de la femme* ‘the head of the woman’). The most important difference between OF and Mod FR genitive structures was the existence of the so-called juxtaposition genitive (JG) in OF but not in Mod FR, which could be either postnominal (type *la niece le duc* ‘the niece of the duke’), or prenominal (*la duc niece* or *le duc niece*). 6 7 8 9 10 11 12 13 14

In this chapter, we adopt the general analysis of OF genitive constructions mapped out by A and H and D and P. Unlike these articles, however, we focus on the diachronic evolution of the genitive from Latin to OF and then to Mod FR. We seek to answer the following questions: 15 16 17 18

1. Why was the JG lost? 19
2. What explains the change of distribution of the prepositional genitives? 20
3. How does the evolution of the genitive from Latin to Mod FR broaden our understanding of language change in general? 21 22

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23 In the following sections we first review the genitive constructions of Latin, Late
 24 Latin, OF and Mod FR. We next provide background concerning our theoretical
 25 framework, the Minimalist Program (cf. Chomsky 1995) and provide accounts of
 26 the possessive constructions in Latin, OF and Mod FR. We then consider the devel-
 27 opment of the various genitive constructions, including the loss of the juxtaposition
 28 genitive (both types). We then discuss the change in distribution of prepositional
 29 genitives, and finally, how the evolution from Latin to OF to Mod FR informs our
 30 view of diachronic change in general.

31 **2.2 Latin Genitive Constructions**

32 Traditional philologists (Jensen 1990; Ménard 1988; Moignet 1988; Westholm
 33 1899) note that Latin genitive structures are the source for those found in OF.
 34 The oldest structure found in Latin is the JG. In such structures, the possessor
 35 could either precede or follow the possessed without an intervening preposition
 36 (e.g., Ménard 1988:27; Anglade 1965:155) and the possessor (in bold) was
 37 marked by genitive case¹:

- t1.1 (1) **Regis** *filii*
 t1.2 king-m-sg-gen son m-sg-nom
 t1.3
 t1.4 *filii* **Regis**
 t1.5 son m-sg-nom king-m-sg-gen
 t1.6
 t1.7 ‘the son of the king’
 t1.8
 t1.9 (2) **dei** *gratia*
 t1.10 God-m-sg-gen grace-f-sg-nom
 t1.11
 t1.12 *gratia* **dei**
 t1.13 grace-f-sg-nom God-m-sg-gen
 t1.14
 t1.15 ‘God’s grace’

39 ~~regis/dei~~ is in the genitive case. Compare (3)–(4) below (Westholm 1899:2): [AU5]

- t2.1 (3) *Illic est Philocomasio* **genitive** *custos*
 t2.2 Here is-3sg Philocomasiu- genitive m- sg guardian-nom-m-sg
 t2.3 ‘Here is Philocomasiu’s guardian.’
 t2.4 (Plautus Mil 272)
 t2.5

¹ The following abbreviations are used : m=masculine, f=femine, sg=singular, pl=plural, nom=nominative, gen=genitive, dat=dative, obl=oblique, def=definite, spec=specific, 1-2-3=first-second-third person.

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- (4) *illic est Philocomasii custos* t2.6
 Here is-3sg Philocomasiu-dative-m-sg guardian-nom-m-sg t2.7
 'Here is Philocomasiu's guardian.' t2.8
 (Plautus Mil 1431) t2.9

Note that in (3) the possessor, *Philocomasio*, precedes the possessed, *custos*, which is in the nominative case (Ménard). In Later Latin, the possessor noun could also be in the dative case (4). The strong link in Latin between the dative and genitive case is particularly important for the evolution of genitive structures in OF.

The preposition *de* 'of' is also found with a genitive use in Popular Latin (e.g., the works of Plautus, Meyer-Lübke 1888) expressing possession (Westholm 1899). An example can be found in (5)–(6) below (Jensen 1990:24):

- (5) *unos multorum* t3.1
 one-nom-m-sg of.many-gen-m-sg t3.2
 'One of many.' t3.3
- (6) *unos de multis* t3.4
 one-nom-m-sg of many-dative-m-sg t3.5
 (=5) t3.6

As seen by (5) and (6) above, the genitive *multorum* alternated with the structure *de multis* (dative).

Another genitive structure found in Later Latin (Meyer-Lübke 1888:375), was one construed with *a/ad*:

- (7) *hic requiescunt membra* t4.1
 here lie-3pl remains-nom-neuter-sg t4.2

ad duos fratres Gallo et Fidencio t4.3
 to two-dative-m-pl brothers-m-pl Gallo and Fidencio t4.4
 t4.5

qui feor filii Magno t4.6
 who were-pl the sons-NOM-m-pl Magno-dative-m-sg t4.7
 t4.8

et vixerunt in pace. t4.9
 and lived-3-pl in peace-ablative-f-sg t4.10
 'Here the remains of two brothers Gallo and Fidencio who were sons of t4.12
 Magnus and lived in peace.' t4.13

In (7) above the prepositional phrase *ad duos fratres* indicates possession, despite the fact that *duos fratres* is in the accusative. Note further that the proper noun *Magno* is in the dative, not genitive, case.

To summarize, Classical Latin preferred genitives with no intervening preposition (JG). The head noun could precede the possessor or follow it. In Later Latin, prepositional genitives, in which the dative alternated with the genitive to indicate possession, were also found.

63 **2.3 Old French Genitive Constructions**

64 Like Latin, OF evinced several genitive structures.² We begin by considering
 65 prepositional genitives as described by traditional philologists. We then look at JG
 66 genitives, a structure no longer found in Mod FR.

67 **2.3.1 Prepositional Genitives**68 **2.3.1.1 Genitive with à**

69 In OF, possession could be indicated with the preposition *à*, such as in the
 70 following:

t5.1 (8) *les piés*  *cheval*
 t5.2 the feet-OBL-m-pl to the-OBL-m-sg horse-OBL-m-sg
 t5.3 'The feet of (literally 'to') the horse.'

t5.4
 t5.5 (Renart 1.1498)
 t5.6 (Herslund 1980:84)

t5.7 (9) *chastel as puceles*
 t5.8 the castle-NOM-m-sg to.the-OBL-f-pl maidens-OBL-f-pl
 t5.9 'The castle of (literally 'to') the maidens.'

t5.10
 t5.11 (Queste 46.29)

t5.12 (10) *fille ad un comte*
 t5.13 daughter-NOM-f-sg to a-OBL-m-sg count-OBL-m-sg
 t5.14 'She was the daughter of (literally 'to') a count.'

t5.15
 t5.16 (St. Alexis, 42)

74 Note that the possessor could be definite, *les* (*à*+*le* ) *puceles* as in (9), or
 75 indefinite, as in (10) *un comte*.³ However, in all cases, the possessor is specific

² An anonymous reviewer notes that the JG is relatively rare and that our analysis is not based on a corpus. However, we have culled examples from scores of philologists, so that our analysis is based on empirical data. The relative rarity of JG does not exempt it from investigation; on the contrary, we find this construction sheds new light on the diachronic development of OF. Another anonymous reviewer, noting Kibler's (1984) suggestion that the JG is limited to possessors represented by kinship, rank profession or "God," indicates that "this could already represent a narrowing down of a previously more general construction."

³ This is contra D and P who argue that the genitive with *à* was normally used with indefinite articles and could not be iterated (p. 298).

[+spec]. The possessor is not necessarily human [+hum], as (8) indicates. This construction is quite common in Modern Spoken French (see Joseph 1988), as in structures like the following:

- (11) *L'ami à ma soeur.* t6.1
 'My sister's friend.' (literally, 'The friend to my sister.')

However, the distribution of *à* genitives is not identical in Mod FR and OF. Mod FR the *à* genitive is limited to [+human] possessors (Grevisse 1993:131–133). Unlike Mod FR, OF also evinced genitive with *à* constructions in which the possessor is animate, but not human, as seen in (8) above. To summarize, then, in OF, *à* genitives could be used with definite or indefinite possessors, providing that they were animate.⁴ We next turn to the genitive with *de*.

2.3.1.2 Genitive with *de* 86

It is widely accepted that genitive structures with *de* have the widest distribution in OF. Consider (12)–(13) below⁵:

- (12) *la pel du lou* t7.1
 the-NOM-f-sg skin-NOM-f-sg of.the-OBL-m-sg wolf-OBL-m-sg t7.2
 'The skin of the wolf.' t7.3
 (Renart 10.1622) t7.4

- (13) *fiz de sa sorur* t7.6
 son-NOM-m-sg of his-OBL-f-sg sister-OBL-f-sg t7.7
 'The son of his sister.' t7.8
 (Brut 9141) t7.9

- (14) *sor le bort de la nef* t7.10
 on the-OBL-m-sg bord-OBL-m-sg of the-OBL-f-sg ship-OBL-f-sg t7.11
 on board the ship' (literally 'on the board of the ship') t7.12
 (Queste 100.31) t7.13
 (Herslund 1980:84) t7.14

These structures could occur before any kind of article and with animate and inanimate possessors. In (12) the possessor *lou* is [+animate, -human]; in (13) the possessor *sa sorur* is [+animate, +human], and in (14) it is [-animate, -human]

⁴Philologists (inter alia Togeby 1976; Foulet 1928/1982; Jensen 1990) argue that the genitive with *à* is found when the possessor is indefinite or plural. However, there are counterexamples from the literature that illustrate that this is a tendency only.

⁵Examples (17)–(19) are taken from Herslund (1980:84).

95 (*la nef*). Therefore, the *de* genitive differed from the *à* genitive in that it could be
 96 used with inanimate possessors (14). The two prepositional structures patterned
 97 similarly, however, with respect to the feature [+spec]. We next turn to the JG.

98 **2.3.2 The Juxtaposition Genitive**

99 **2.3.2.1 The Postposed JG**

100 Like Latin, in OF possession could be indicated by the JG (Arteaga 1995; D and P
 101 2009; A and H 2009). Recall that in such structures, there is no intervening preposi-
 102 tion between the head noun and the possessor. Yet OF was different from Latin in
 103 that it only had two cases (rather than five), nominative (sentential subject) and
 104 oblique (all other functions). In OF, while the possessor was obligatorily in the
 105 oblique case, the case of the head noun depended on its grammatical function in the
 106 sentence. The most common of the JG structures in OF was the DP that was pos-
 107 sessed followed by the possessor, as in (15):

18.1 (15) *la* *chambre* *son* *pedre*
 18.2 the-OBL-f-sg room-OBL-f-sg his-OBL-m-sg father-OBL-m-sg
 18.3 'The room of his father' (Literally, 'the room his father')

18.4
 18.5 (St. Alexis 75)

18.6 (16) *La* *niece* *le* *duc* *manoit*⁶
 18.7 the-OBL-F-sg niece-NOM-F-sg the-OBL-M-sg duke-OBL-M-sg remained-3sg
 18.8 'The duke's niece remained.'

18.9 (La Chasteleine de Vergi 376)

18.10 (Foulet 1928/1982:14)

18.11 (17) *des* *chevaliers*
 18.12 some-OBL-m-pl knights-OBL-m-pl

18.13
 18.14 *le* *roi* *Arthur*
 18.15 the-OBL-m-sg king-OBL-m-sg Arthur-OBL-m-SG
 18.16 'Some of King Arthur's knights'

18.17
 18.18 (Mort 5.6)

18.19 (Herslund 1980:119)

⁶ Cited in Arteaga (1995).

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[AU6]	(18)	<i>et cil</i>	<i>a</i>	<i>prise</i>	<i>et</i>	t8.20
		and this.one-NOM-f-sg	has-3sg	taken-NOM-f-sg	and	t8.21
		<i>recëue</i>	<i>sa</i>	<i>fame</i>		t8.22
		received-NOM-f-sg	his-OBL-f-sg	wife-OBL-f-sg		t8.23
		<i>des</i>	<i>mains</i>	<i>un abe</i>		t8.24
		from.the-OBL-f-sg	hands-OBL-f-sg	an abby-OBL-m-sg		t8.25
		'And this one took and received his wife from the hands of an abbot.'				t8.26
						t8.27
						t8.28
						t8.29
		(Guillaume				t8.30
		(Herslund 1984:85)				t8.31

In (15), the possessor *son pedre* is in the oblique case; the nominative would be *ses pere(s)*. In the example (16), the possessor *duc* is in the oblique case (nominative *li ducs*). In (17), *le roi Artu* is also in the oblique case (nominative *li rois Artus*), and in (18) *abe* is oblique. Note that while the possessor is invariably specific, the DP that is possessed can be either be [+spec(ific)] or [-spec(ific)]. Evidence that the crucial feature is [+specific], rather than definite or indefinite comes from examples such as (18) above, where *un abe* has the sense of *un tel abe* 'one such abbot'.⁷ We next co

From this point on, the numbers of the examples are one off

2.3.2.2 Genitive

Another genitive construction was possible in OF, one in which the possessor preceded the DP that was possessed. This form is thought by philologists to be older than the postposed JG discussed above (*inter alia* Jensen 1990; Ménard 1988; Moignet 1988; Tobler 1921; Anglade 1965).⁸ Consider the following:

(18)	<i>Mes ne</i>	<i>tocha</i>	<i>la</i>	<i>deu</i>	<i>merci</i>	t9.1
	but	not attained-3sg	pro the-OBL-f-sg	God-OBL-m-sg	grace-OBL-f-sg	t9.2
	'But he never attained the grace of God.' (literally 'The grace God')					t9.3
				(Tobler 1921:70)		t9.4
				(Ch. Lyon 5063)		t9.5

⁷ D and P claim that the DP that is possessed is always definite in the JG structure. However, counterexamples abound. See Herslund (1980) for discussion. As for the possessor, an anonymous reviewer confirms that there are isolated cases in which it may be indefinite. However, we note that it is always [+specific]. Proper names do not usually show determiners although they are [+spec], as *Dieu* in *l'Hotel Dieu*.

⁸ An anonymous reviewer points out that this genitive was far less common in OF (Foulet 1928/1982:18; also Buridant 2000: 95). This is accounted for by our analysis because the structure contains a defective phase, which is necessarily marked, and perhaps less stable for that reason. See Section 3.2.3 for details.

t9.7 9) *Car il fut ja*
 t9.8 since he-NOM-m-sg was-3sg already
 t9.9
 t9.10 *de son pere maisnie]*
 t9.11 from his-OBL-m-sg father-OBL-m-sg household-OBL-f-sg
 t9.12
 t9.13 'He was already part of the household of his father. (Literally 'the household his
 t9.14 father.')

(Tobler 1921:70)
 (Ch Lyon 948)

t26
 t27 In (18), the article is not that of *deu* (masculine), but rather the one corresponding
 t28 to *merci*, which was feminine in OF. However, in (19), the possessive adjective is
 t29 masculine oblique, indicating that it belongs to *pere*, and not *maisnie* (feminine). In
 t30 both cases, the possessor is in the oblique case (cf. nominative *deus, ses pere(s)*).
 t31 Previous analyses have noted that the JG is used with [+human] possessors, and
 t32 have noted that the possessor must be [+def], which cannot account for examples
 t33 like (18) above. We therefore propose that the essential feature is specificity [+spec].
 t34 The JG is usually a possessed-possessor, but there are instances of multiple
 t35 instantiations, as (19) and (20) show.⁹

t10.1 (20) *le prei*
 t10.2 the-OBL-m-sg meadow-OBL-m-sg
 t10.3
 t10.4 *les oirs le Pelletier*
 t10.5 the-OBL-m-sg heirs-OBL-m-sg the-OBL-m-sg Pelletier-OBL-m-sg
 t10.6 'Pelletier's heirs' meadow' (literally 'The meadow the heirs the Pelletier')
 t10.7 Lanher (1975:117.5)
 t10.8 Holman (1992:142)

t37 To summarize, in Old French, four genitive structures were found, two which
 t38 are not found in Mod FR, namely the preposed and postposed JG (cf 15–20). In the
 t39 JG, the possessor could precede or follow the possessed without the use of a prepo-
 t40 sition. The two other structures, the genitive with *à* and with *de*, are found in Mod
 t41 FR, although the distribution of the former is now limited to [+human] possessors,
 t42 as opposed to animate nouns, as was the case in OF. In the discussion that fol-
 t43 lows, we will to the research questions outlined in Sect. 2.1 above:

- t44 1. Why was the JG lost?
- t45 2. What explains the change of distribution of the prepositional genitives?

⁹ Contra D and P's claim (p. 297) that "multiple instantiations are excluded."

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147

We next turn to the theoretical framework that we assume in our analysis, as well as earlier analyses of genitive structures in OF. 148
149

2.4 Theoretical Framework 150

2.4.1 Minimalism 151

The program that we adopt is that of Minimalism (Chomsky 1995, 2001), a framework that has grown out of the Principles and Parameters approach (Chomsky 1981), but differs in that it advocates a streamlined computational system. Both frameworks propose an account of syntactic structures of a given language in terms of a grammar capable of generating its sentences. The current program assumes a minimal number of operations, Merge (the combination of two syntactic items) and Move, procedures that are very often triggered by feature-matching. Syntactic categories may carry interpretable (necessary for semantic interpretation to give meaning to the sentence) or uninterpretable (strictly grammatical) features. The latter represent links that are syntactically required but meaningless; they must be “valued” and then eliminated (checked off) during the course of the syntactic derivation.

For example, verbs carry person/number features that are grammatical (hence uninterpretable), while nominative subjects carry those same features as interpretable characteristics of the noun. In this case, the uninterpretable features must “look for” syntactic categories that carry interpretable features to match, value and check off the uninterpretable ones. The result is subject verb agreement, with the verb carrying person-number features that are only interpretable in terms of the subject NP. Another example of uninterpretable features includes case on nouns. Prepositions, on the other hand, which can syntactically determine case, carry the interpretable feature of case. Finally, determiners carry an interpretable [def] feature, while nouns may carry an uninterpretable [udef] feature that must be valued by the determiner (Lin 2008). This feature is crucial to the GJ in OF.

2.4.2 Previous Analyses of OF Genitive Constructions 176

Recall that the genitive constructions include possessors marked with *à* and *de* as in Mod FR (21, 22) as well as postnominal (23) and prenominal (24–25) JGs.

The numbering is one off here

- t11 21) *chastel as puceles* (=9 above)
- t11 22) *la pel du lou* (=12) above)
- t11 23) *la chambre son pedre* (=15) above)
- t11 24) *mais il ne tocha la deu merci* ((16) above)
- t11 25) *Car il fut ja de son pere maisnie* ((19) above)

180 The prenominal genitive must be preceded by a definite article, but this definite
 181 determiner may relate either to the possessor or the possessed as the two examples
 182 show.

183 **2.4.2.1 Arteaga (1995)**

184 Within the Government and Binding framework, Arteaga (1995) considers OF genitive
 185 constructions. Her conclusion is that their derivation can be explained if the
 186 presence of an agreement (AGR) projection in DPs is posited. This lexical AGR
 187 (Contreras 1992) assigns a case to genitive complements in the JG without the need
 188 for a preposition. She argues that the two JGs in OF have the same base structure.
 189 The prenominal JG is explained, in this view, by movement out of the lower NP, after
 190 which it adjoins to the AgrP of the higher DP. She further claims that if the lower D
 191 is null, the definite determiner is that of the DP that is possessed, whereas if it is the
 192 higher D that is null, the definite determiner is that of the raised possessor.

193 Summing up the views of traditional philologists, Arteaga (1995) notes that the
 194 three types of genitive in OF are essentially a function of lexical selection. In the
 195 case of the genitive with *à*, it was typically found when the complement noun was
 196 plural or lacked a definite determiner. The genitive with *de* was preferred when the
 197 possessor was not a person, when a whole class of individuals is designated, with
 198 proper nouns, or before a personal pronoun. Finally, the JG occurred when the pos-
 199 sessor was human and the article definite or a proper noun. She explains the loss of
 200 the JG by the fact that AGR ceased to be available in Middle French, as the language
 201 was no longer pro-drop by the fifteenth century. She does not address the evolution
 202 of prepositional genitives, i.e., the fact that *à* came to be used with [+human] only.

203 **2.4.2.2 Delfitto and Paradisi (2008)**

204 D and P provide an analysis of genitive structures in OF, Old Italian, and general
 205 Romance.¹⁰ They note that in OF, the possessor in genitives may be preceded with
 206 the prepositions *à* or *de* (cf. (8–14) above), which assign case (oblique in OF) as one
 207 of their lexical properties. The structure of possessive *de/à* constructions that they

¹⁰ See d’Alessandro and Roberts (2008) for discussion of past participle agreement in defective phases, and Hartman and Zimmerman on adnominal genitives.

237 A and H propose the same structure for all genitive structures (prepositional and
 238 IG) in OF, (28):

239 (28) $[_{DP} D [_{nP} \dots [_{NP} N [_{K/P} [_{DP} D [_{nP} \dots [_{NP} \mathbf{N\text{-possessor}}]]]]]]]]]$

240 They argue that in OF genitive structures, the possessor nouns in question carry
 241 interpretable gender and number features (e.g. [+f, -pl], cf. Bernstein 1991;
 242 Longobardi 1994; Mallén 1997) and uninterpretable case and definite features (per
 243 Lin 2008) as [*ucase*], [*udef*]. The D carries interpretable [*def*], and there are addi-
 244 tional functional projections such as NumP, SpecP between D and *nP* which like-
 245 wise carry interpretable features that can value and delete the *u*features (Lin 2008).
 246 The gender, number, and case features are the same as in Mod FR. They assume that
 247 in OF prepositional genitive structures, the relational character of *à/de* carries an
 248 interpretable feature [K] (a case assigning feature) that can value and delete the
 249 [*ucase*] feature of the oblique N. The head of this “possessor” complement phrase is
 250 K/P, a grammatical head that is overt, appearing as a preposition (P, *à* or *de*), or else
 251 null, appearing as K, a genitive case assigning interpretable feature that grounds the
 252 possessor referentially in time and space (Bittner and Hale 1996).¹³ In prepositional
 253 genitive structures, as we have seen in (8–14), the possessor may be definite or
 254 indefinite, in which case D carries an interpretable [+/-*def*] feature. The [*udef*] fea-
 255 ture of the possessor N may be valued by [+/-*def*] on the D, while the SpecP pro-
 256 jects interpretable [+*spec*]. We assume that unlike some languages which allow *in*
 257 situ checking of features, OF DP requires a c-command relationship for case check-
 258 ing (e.g. the case assigning K c-commands the possessor DP embedded under it).
 259 Recall that the possessed N receives its case from a higher source (nominative
 260 through Tense or oblique often from the verb).

261 For the JG, on the other hand, A and H propose that the K/P head here has no
 262 overt reflex (as in overt P), but must also carry the features [*uhum*], [*udef*], [*uspec*]
 263 since those features of the JG possessor provide sufficient reference to “ground” the
 264 DP complement (cf. Pesetsky and Torrego 2004, 2009). The [*hum*], [*def*] and [*spec*]
 265 features of the K/P projections and the features of the possessor DP check and
 266 delete, while the interpretable case feature of the null K head deletes the [*ucase*]
 267 feature of the possessor DP (cf. Pesetsky and Torrego 2004). For the postnominal
 268 JG (*la niece le duc* type), A and H adopt the structure of (28), proposing that the K/P
 269 head here has no overt preposition, but must carry the features [*udef*], [*uhum*],
 270 [*uspec*] to ensure the referentiality of the DP complement. These features of K/P are
 271 valued by the [+*def*] determiner and the necessarily [+*hum*, +*spec*] possessor noun
 272 *le duc*, while the null preposition of K/P deletes the oblique case of the possessor
 273 DP. According to their account, the postnominal JG construction is obtained by the
 274 feature checking and valuing of [+*def*], [+*hum*], [+*spec*] of the possessor DP.

¹³ An anonymous reviewer questions this notion, as s/he claims that a possessor may be generic. We have found no examples of generic JGs. However, articles do not appear in Old French generics. See A and H (2010) for discussion.

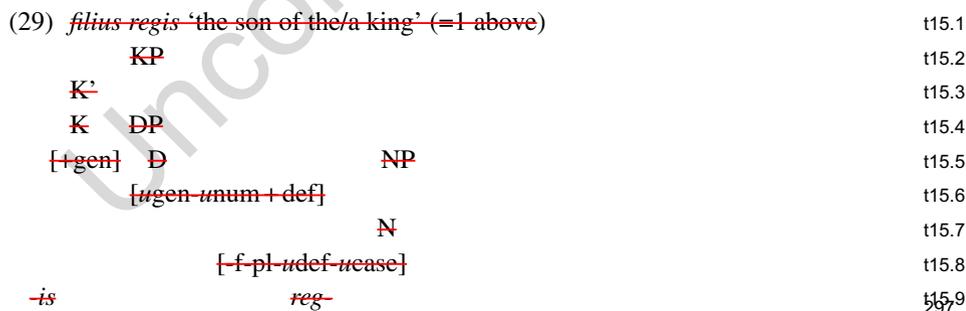
For the prenominal JG, A and H assume that ~~one of the~~ either the possessor or the DP that is possessed, is defective, in that it lacks D, and is thus a bare *nP*. It is an incomplete DP functional shell that requires a D to check off the uninterpretable features of the *nP*. In other words, if there is no D in the lower possessor NP, it must raise to prenominal position, as *la deu merci* or *la duc niece* using the [+def] feature of the higher D to check the [*udef*] feature of both the DP that is possessed and the possessor. Recall that in such structures, it is the definite article of the upper DP that is expressed.

In A and H's proposal, when the determiner is that of the lower DP, as *son pere maisnie* or *le duc niece*, it is the upper NP that has no D.¹⁴ In that case, the entire lower possessor DP fronts to check off and value the uninterpretable [*udef*] feature of the upper N as well as that of the originally lower possessor noun that has been subsequently raised. This in turn explains examples like *son pere maisnie*, in which the entire lower possessor DP has fronted.

2.5 New Proposal

2.5.1 Latin KP

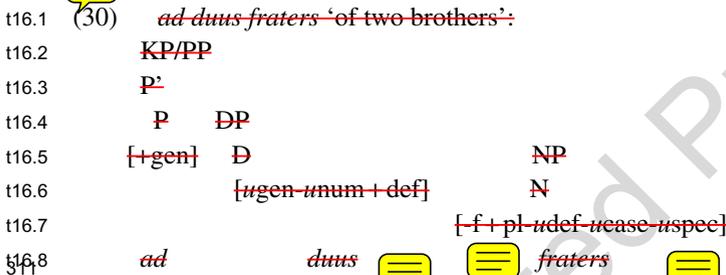
While neither A and H nor D and P discuss Latin genitive structures, we believe that generally speaking, their analyses regarding case assignment apply to Latin as well. Pereltsvaig (2007) argues for the universality of DP whether or not a language has overt determiners or not. As noted by A and H (2010) a special case phrase (KP) is needed for case assignment in Latin within a minimalist framework. The structure we propose is the following:



¹⁴ An anonymous reviewer asks why the [*uDef*] feature of the upper N can't probe down to enter into a checking relationship with the possessor DP, that the order could remain *maisnie son père*. This is explained by our analysis because the upper phase is defective and therefore cannot enter into a checking relationship with a lower D in situ, given the c-command requirement.

298 In the above structure, K carries the interpretable feature of genitive which will
 299 match and delete the [*ucase*] of the possessor noun. The determiner, which is often
 300 null in Latin (except for demonstratives, possessives, quantifiers and the like), car-
 301 ries the uninterpretable features of number and gender and the interpretable
 302 definiteness that are not realized overtly (cf. Lin 2008 for null D in Chinese). The D
 303 feature may be either [*+/-def*] for it to delete the [*udef*] feature of the noun. The
 304 noun, in turn, carries the interpretable features of gender, number, [*ucase*] and
 305 [*udef*].¹⁵ To generate the correct morphology, *reg-* will raise to precede the genitive
 306 suffix *-is* as part of the spelling out of morphology.

307 In later Latin, however, as noted above, the prepositions *ad* and *de* were also
 308 found, suggesting that a PP alternated with KP in Proto Romance, as proposed for
 309 OF by A and H (2010) and D and P. We therefore provide the following structure for
 310 the example in (7) above:



312 In the derivation of both (29) and (30), contra D and P and A and H’s analysis of
 313 OF, we assume that in Latin genitive structures, the nouns in question () interpretable
 314 gender and number features and uninterpretable case. Note that (29) can mean
 315 either ‘the son of the king’ or ‘the son of a king.’ This illustrates the fact that ()
 316 crucial uninterpretable feature is not [*+def*] but rather [*+spec*] (cf. () 2004), a
 317 feature that is determined by the discourse and speaker intent. In (30), the deter-
 318 miner is overt, checking and deleting [*uspec*] on the noun which, in turn, deletes the
 319 uninterpretable features of D. The overt preposition *ad* is able to check [*ucase*] of
 320 the noun.

321 As seen by the examples above, one difference between the determiner system in
 322 Latin and OF was that the determiner in the former language could be null, yet
 323 could nonetheless express [*+/-spec*] and/or [*+def*] (Gamillscheg 1957). We there-
 324 fore assume, following Lin’s (2008) analysis for Mandarin Chinese, that a null
 325 definite feature could check off [*udef*] in Latin. In later Latin, demonstratives,

¹⁵ We adopt the broadly accepted terminology of [*gender*] as an interpretable feature of the noun (cf. Carstens 2000, 2003) although it is clearly a grammatical one with semantic interpretation only in terms of animate nouns. See also Bittner and Hale (1996).

including *illi* (Classical *ille*, f *illa*, neuter *illud*), the source of []ance articles, 326
 came to be used as definite articles expressing specificity, as in (31): 327

(31) *Cito* [] *profer* [] *mihi* *stolam* [] t17.1
 right.away offer-IMP-2sg to.me shawl-OBL-f-sg t17.2
[] t17.3
illam [] *prim* [] t17.4
 that-OBL-f-sg first-OBL-f-sg t17.5
 ‘Offer me right away the (literally, [] first shawl. t17.6
 (Luke 15 v 22) t17.7
[] (Grandgent 1934:36) t17.8

In the example in (31), demonstrative article *illam* modifies *stolam*, matching it 329
 in both gender (feminine) and case (accusative). We interpret this to mean that in 330
 later Latin a null determiner was losing its ability to check off and value the feature 331
 [+spec]. As the Latin demonstrative lost its deictic value and became grammatical- 332
 ized into the definite article in OF, it also eventually evolved into a distinctive mark 333
 of the JG. The KP case phrase that could license genitive case in Latin evolved into 334
 what we designate as K/PP in OF. As argued above, the JG allows a null preposition, 335
 but carries the [*udef*] and [*uspec*] features that require a definite article and a [+spec] 336
 DP. We will see that by Middle French the [*uspec*] and [*uhum*] features of the K/P 337
 and null preposition are no longer sufficient to indicate the possessor relationship, 338
 thus leading to the requirement of overt prepositions (*à* or *de*). 339

2.5.2 Old French KP/PP 340

2.5.2.1 Prepositional Genitives 341

As noted above, A and H propose that in OF genitives, the case assigner could either 342
 be an overt P (*à* or *de*) or in the juxtaposition genitive constructions, a null preposi- 343
 tion. In prepositional genitives, the possessor could be [+/-def] or [+/-hum], but for 344
 the JG the possessor had to be [+/-def], [+hum],[+spec] to sufficiently identify the 345
 reference of the possessor using a null P.¹⁶ There is independent empirical evidence 346
 for A and H’s proposal that null prepositions were possible in OF. As noted by 347
 Herslund (1980), Togeby (1974), Jensen (1990), among many others, dative verbs 348
 may be construed with or without *à*, which is required in Mod FR.¹⁷ 349

¹⁶ Most frequently the JG is [+def], but there are attested cases of [-def] [+spec].

¹⁷ When dative verbs are construed with an object pronoun, it is invariably the dative that is used, except in North-Eastern and Anglo-Norman varieties, as pointed out by an anonymous reviewer.

t18.1 (32) *et le dist le roi*
 t18.2 and it-OBL-m-sg said-3sg pro the-OBL-m-sg king-OBL-m-sg
 t18.3 'He said it to the king.' (literally, 'he said it the king')
 t18.4 (Herslund 1980:25)
 t18.5 (Didot E 1789)

t18.6 (33) *Droit a mon oncle le dirai*
 t18.7 directly to my-OBL-m-sg uncle-OBL-m-sg it-OBL-m-sg will.tell-1sg pro
 t18.8 'I will tell it to my uncle directly.'
 t18.9 (Togebly 1974:56)

352 In (32) above, no preposition introduces the dative complement *le roi*, whereas
 353 the preposition *à* marks the dative in (33). If, however, the dative complement takes
 354 an object pronoun it is invariably the indirect (as opposed to the direct) object, as
 355 illustrated by (34):

t19.1 (34) *De moi part li dites*
 t19.2 from my-OBL-f-sg part-OBL-f-sg to.him say-imperative-2sg
 t19.3 'Tell him from me'
 t19.4 Herslund (1980:25)
 t19.5 (Barbastre 3611)

357 The fact that in (34) above, only the dative pronoun in francien *li* is possible
 358 (as opposed to the accusative pronoun *le*) further demonstrates that dative verbs
 359 took a dative complement in OF whether or not an overt preposition (*à*) intro-
 360 duced the full lexical DP.¹⁸ The complement of dative verbs is usually [+human]
 361 in OF as in Mod FR.

362 We assume, therefore, that the OF prepositional genitives were structured as in
 363 Latin and Mod FR with *à* and thus continuing the Proto Romance alternation of
 364 a DP with KP. We provide in (35) the structure for the example in (10) above:

t20.1 (35) *filie ad un comte (=10)*
 t20.2
 t20.3 KP/PP
 t20.4 K/P'
 t20.5 K/P DP
 t20.6 [+obl-uspec] D NP
 t20.7 [+gen-unum+spec]
 t20.8 [-f-pl-uspec-ucase]
 t20.9 *ad un comte*

366 In (35) the uninterpretable features (number and gender) of the D match and are
 367 deleted by the interpretable features (masculine singular) of the N, whereas the

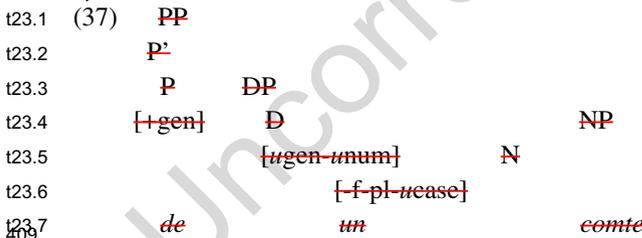
¹⁸ An anonymous reviewer notes that Anglo-Norman continued to have the JG even after the decline of the case system. Due to space limitations, we are unable to address dialectal variation in this paper.

387 If there is no D in the lower possessor NP₂, it must raise to prenominal position,
 388 as *la deu merci*, using the [+def] feature of the higher D to check the [udef]
 389 feature of both the possessed and the possessor. Recall that in such structures, it
 390 is the definite article of the upper DP that is expressed. If the higher NP₁ is defec-
 391 tive, the non-defective DP₂ raises to furnish the features [+def+spec] as in *son*
 392 *pere maisnie*.

393 Our analysis can account for the fact that the prenominal JG is older, because it
 394 is part of a defective phase, which is marked as it is not the norm. Our analysis
 395 differs from both D and P and A and H, in that the possessor must be [+spec]; it may
 396 be either [+def]. What is crucial to our analysis is that the [uspec] feature may be
 397 valued by [+spec] on the D. We next turn to our diachronic analysis from Old French
 398 to Mod FR.

399 **2.5.3 Modern French**

400 With the loss of the OF dual case system, possessors could no longer be oblique
 401 complements of the head (possessed) NP, introduced by a null preposition. Either
 402 the preposition *à* or *de* was now required, and their distribution became more spe-
 403 cialized. No longer was there a definiteness restriction (as for the JG) on the pos-
 404 sessor, and *de* became the general all-purpose genitive marker. The preposition *à*
 405 now became limited to [+human] possessors as in *un ami à moi*. In our view, the
 406 Mod FR prepositional genitives are structured as in (37) and OF with *à* and *de*,
 407 although they only continue the PP. We provide in (37) the following structure for
 408 (37) *un* *comte* 'daughter of a count' (cf. 10 above):



410 **2.6 The Evolution of the OF Genitive as a Window**
 411 **into Language Change**

412 The changes exemplified by the evolution of genitive structures from Latin to
 413 Mod FR show from one perspective the development of analytic morphosyntax
 414 from synthetic nominal declensions and from another perspective the interplay
 415 of morphology, phonology, and syntax. We see these changes as a gradual

replacement of minimal features as native speakers reanalyze the underlying structure of their changing language. In the case at hand, the KP case phrase in Latin began sharing its function with PPs with overt prepositions in Late Latin, thus giving the prepositional and juxtaposition genitives found in Classical Latin, Late Latin and OF. The JG of OF, with its requirements of [+spec+hum] possessor, gave way to only the prepositional genitive once case morphology eroded in Middle French. In Mod FR, only prepositional genitives can license possessor complements. Crucial to our analysis, discussed below, is the proposal by Lightfoot (1999) of cue based language change (Lightfoot 1999) in the evolution of genitives in French.

[AU7]

2.6.1

2.6.1.1 Loss of the JG

In our view, there are several reasons for the loss of the JG. Recall that while Latin had five nominal cases, these were reduced to two in OF, nominative and oblique. The case difference was not usually seen in the feminine (except in imparisyllabic nouns whose nominative and oblique forms had differing numbers of syllables, and in nouns ending in consonants, Pope 1934:312–313), but rather was evident in the masculine whose nominative singular and oblique plural were distinguished by final *-s*. Consonants (especially [s/z]) were effaced in final and preconsonantal positions certainly by the end of the Middle Ages (in many cases thus rendering the case marking of nominative and oblique masculine ineffective). This, in turn, resulted in the breakdown of the OF case system, at around the time that the JG was lost (Arteaga 1995). Isolated examples of the JG may be found after that time, but they are considered to be archaisms (Grevisse 1993; Herslund 1980; Palm 1977).

The loss of the case system in nominals related to the morphological leveling that resulted in part from the loss of final consonants and in part from a shift from synthetic grammatical marking (inflections on nouns and verbs as in Latin) to more analytical indication of grammatical function (prepositional phrases and word order). As Pope (1934:313) notes, “The gradual effacement of final *s* in pre-consonantal position and even elsewhere rendered the flexional system often inoperative; the increasing fixity of word order made it unnecessary.” In our view, the fact that the case system was no longer functional meant that null prepositions were not possible: the generalization of oblique case to both nominative and accusative functions—distinguished now exclusively by word order except in the pronominal system—excluded the use of oblique as the marker of possession.

Thus a native speaker could no longer determine the relationship of a noun adjacent to another noun or as a verbal complement without an intervening preposition.

455 This is confirmed by the fact that null *à* after dative verbs was no longer possible
 456 at the same time (Herslund 1980). The features [+spec], [+hum], [+oblique case]
 457 no longer sufficed to identify a juxtaposed possessor, so the K/P head could no
 458 longer carry a null P with the features described earlier. The overt P, either *à* or *de*,
 459 became obligatory to indicate possession, and the order of the possessor with
 460 respect to the possessed became fixed to follow the possessed NP (as was the case
 461 for all nominal complements). Finally, these changes obviated the restriction of
 462 the JG to human definites, and the distribution of prepositional genitives necessar-
 463 ily changed as well.

464 Our proposal also accounts for the earlier loss of the prenominal JG. Recall
 465 that in such structures either the definite article of the upper DP or that of the
 466 lower DP is expressed. We have argued that in such cases, the phase is defective.
 467 We would expect, therefore, that such a marked structure would be lost earlier
 468 than the postnominal JG that followed the same word order as prepositional
 469 genitives.

470 **2.6.1.2 Change in Distribution of Prepositional Genitives**

471 As noted above, from OF to Mod FR there has been a change in the use of genitive
 472 *à*, namely that it generally refers to [+human] in Mod FR. Grevisse (1993:531–533)
 473 provides ample examples of human pronouns and nouns, suggesting that the usage
 474 with *à* may be a reduction of *appartenant à* ‘belonging to.’ Only two non-human
 475 examples are given, *l'écurie à la vache* ‘the cow’s stable’ and *la faute à la guerre*
 476 ‘war’s fault.’ Our explanation for this has its roots in the dative structure. According
 477 to Herslund (1980), the indirect object has always been animate and human.
 478 Consider the following example:

t24.1 (38) *Dist la pucele au chevalier*
 t24.2 Says the-NOM-f-sg maiden-NOM-f-sg to.the-OBL-M-sg knight-OBL-m-sg
 t24.3 ‘The maiden said to the knight.’
 t24.4 (Lancelot 1044)

480 Historically, *à* has usually been used for [+human] as an indirect object, a prac-
 481 tice that is almost exclusive in Mod FR (Herschensohn 1996). The dative can
 482 exceptionally be used to individuate/personify inanimate objects and animate
 483 beings as in *donner à manger aux animaux* or *donner le nom de Joseph à l'épée*.
 484 But generally, speakers came to associate indirect object and possessive construc-
 485 tions headed by *à* with [+human]. The loss of JG in the fourteenth century neces-
 486 sitated the adoption of a preposition, and the default genitive marker for [+/-human]
 487 became *de* since it was already positioned to apply to [+/-hum, +/-def]. The prepo-
 488 sition *à* was not simply limited to human possessors, but became lexically restricted
 489 as well in Mod FR.

2.6.2 Broader Implications: Cue Based Evolution

490

How does the diachronic change described in this study contribute to our more general understanding of how languages change and what the implications may be for synchronic language variation and acquisition? In order to consider these broader implications, we examine the proposals of Lightfoot (1999). He has argued that children are the vehicles of language change in that they may modify the input they receive in creating what turn out to be “new” grammars of their native language. For example, sixteenth century English, as French, used to be a language in which the inflected verb raised above negation and adverbs as in *thinks not* (cf. MF *pense pas*) instead of *does not think*. Modern English allows raising of only auxiliaries, leaving the main verb *in situ*. Lightfoot argues that young children scan their input for cues to the grammar that they are building, and if they perceive variability, they will select cues that sometimes generalize to a grammar that differs from that of their parents—a non-raising pattern for verbs in English, for example. Why would children construct “new grammars”? According to Lightfoot (1999:202), “children need evidence to establish the category that a lexical item belongs to. That evidence might be distributional, inflectional or paradigmatic.” We next explore each of these characteristics with respect to the genitive structures we have examined in the earlier sections, most particularly the total displacement of JG from OF by the prepositional genitive of Mod FR.

In terms of distribution, the JG already had competition from *à* and *de* in late Latin and in OF, so children were exposed to variable input, first in terms of JG and prepositional, but also in terms of the post- and prenominal JG and its variable determiner. The JG was further limited to [+hum+def+spec] DPs, reducing further its generalizability, while *à* genitives were limited to [+animate]. If we look at salience, one must conclude that overt prepositions are more salient than null ones, and the obvious winner in the competition would probably be the most generally used P, *de*. A final note on distribution is that the definite article—along with oblique case, which is the principal over morphology indicating position for the JG—was not used in OF for generic (43) and abstract noun phrases (44), both of which are [-spec]:¹⁹

(43)	<i>Pechie le m' a tolut</i>	t25.1
	sin-NOM-m-sg him to.me has-3sg taken.away-NOM-m-sg	t25.2
	‘Sin took him away from me’ (cf. Mod FR <i>Le peché</i>)	t25.3
	(Saint Alexis 108)	t25.4

¹⁹ Although see Gamillscheg (1957:90) who argues that with abstract nouns, the definite article is present from the earliest texts when they refer to “concrete cases.” He cites three examples, in all of which the definite article has a possessive function. For example, *Gardez, de nos ne turnez le courage* (Roland 650) ‘Watch that you do not turn away from your courage.’

125.5 (44) *Galois* *sont* *par* *nature*
 125.6 Gauls-NOM-m-pl are-3pl all-NOM-m-pl by nature-OBL-f-sg
 125.7
 125.8 *plus fol* *que bestes* *an* *pasture*
 125.9 more crazy-NOM-m-sg than beasts-NOM-f-pl at pasture-OBL-f-sg
 125.10 'The Gauls are all by nature crazier than animals at pasture.'
 125.11 (cf. Mod FR *Les Gaulois*)
 125.12 (Perceval 241–242)
 125.13 (Foulet 1928/1982:51)

522 (45), the abstract noun *Pechiez* is unaccompanied by articles. Similarly, in
 523 (44), there are three generics that are not introduced by a definite article, *Galois*,
 524 *bêtes*, and *nature*.²⁰

525 The use of the definite article spread to generics around the fourteenth century,
 526 thus eliminating the link between specificity and definiteness. The increased func-
 527 tional load of definite articles in Middle French would further reduce the interpret-
 528 ability of definite articles, making the JG a poor cue for children learning the
 529 language.

530 In terms of inflection, we have already noted that the inflectional systems first of
 531 Latin and later of OF experienced morphological erosion that represented a shift
 532 from synthetic grammatical marking to analytical (separate grammatical words and
 533 fixed word order). The exclusive use of the genitive case with free word order JG in
 534 classical Latin was supplemented in later Latin with the prepositional genitives
 535 using *ad* and *de*. These same constructions were used in OF, which had two cases
 536 (reduced from five in Latin), with oblique serving as direct object, indirect object,
 537 and genitive object, all of which permitted null prepositions.

538 The loss of final consonants in late Old French (cf. Chap. 9 by Schøsler, Chap. 7
 539 by Lindschouw, Chap. 5 by Gess, this volume) led to several changes that influenced
 540 the cues that children would have received. Speakers no longer made the distinction
 541 between nominative and oblique for masculine and consonant final feminine nouns,
 542 since the final *-s* was lost. Similarly, the loss of *-s* obviated the distinction between
 543 singular and plural. All nouns so that the burden of marking plurality fell to the
 544 determiner (see (43)–(44) above). Partially due to this shift, determiners became
 545 obligatory, and thus grammaticalized, in French, and the null determiner which had
 546 formerly signaled [-spec] generic DPs gave way to the definite article. The JG then
 547 experienced two factors that contributed to its non-distinctness as a means of mark-
 548 ing possession by a juxtaposed DP: oblique case was no longer distinctive as an
 549 indication of case, and definiteness was no longer a distinct mark of referential
 550 specificity. Objective case, the non-nominative case, had to be assigned by either a
 551 verb (direct object) or by an overt preposition; the option of a K/PP with a null
 552 preposition was lost.

²⁰In Mod FR either *par la nature* or *par nature* is found, the latter of which is a fixed expression.

Finally, considering paradigmatic data, we see that the converging tendencies described above contributed to paradigm leveling, which in turn further marginalized the JG. The loss of the two case nominal system resulted in the domination of the objective form (generalized to both masculine and feminine as no marker in singular and *-s* in plural for orthography) for nouns and articles. But because the orthographic *-s* was lost in spoken French, it was only the articles (e.g. *le/la* singular, *les* plural) that distinguished number. Imparissyllabic nouns—the most saliently marked nouns case-wise—were eliminated since usually only the oblique form survived. Paradigm leveling is not a primary factor in the loss of JG, but it is definitely concurrent. If paradigm identification helps learners to master morphological alternations, then the leveling could be seen as effecting change. Lightfoot's criteria seem to hold, for it certainly appears that the converging morphosyntactic changes engendered by phonological evolution provided children with at first variable and then non-existent cues that led them to restructure the genitive marking of possessor nouns in Middle and Mod FR. Alternately, the same forces might be seen as affecting teenagers or adults in their use of the language; the mechanisms of past language change cannot be known for sure.

2.7 Conclusion

In this paper, we have considered the evolution of genitive structures from Latin to OF. We first introduced Latin data, followed by a presentation of the dative in OF. Our analysis differs from earlier ones in that we argue that the possessor in all genitive structures in OF must be [+spec], not merely [+def], as an indefinite article could occasionally introduce the possessor, provided that the latter was specific. We then proposed an analysis of case marked genitive and dative in Latin and then showed how the KP case phrase of Later Latin evolved into K/PP. It is this structure which OF inherited, using a preposition, null or overt, to mark genitive and oblique case. In our view, null prepositions, which checked the case of the juxtaposition genitive and certain datives, ceased to be possible in Middle French (MidF).

We then discussed genitive structures from a diachronic viewpoint. We argued that once final consonants ceased to be pronounced, leading to the loss of the case system and the concomitant paradigmatic leveling, a child would no longer receive unambiguous input. The upshot of this is that speakers ceased to use the JG. This also explains the fact that the dative pronoun *à* not only became obligatory, but was almost exclusively limited to [+human] complements, meant that the distribution of the *à* genitive followed suit.

Finally, we addressed the evolution of genitive structures from Latin to OF, discussing how this diachronic development has implications for language change in general.

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[AU8]

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Author Queries



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AU1	Please confirm the corresponding author.	
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AU3	References Delfitto and Paradisi (2009), Togeby (1976), Guillaume (1293), Herslund (1984), Moignet (1988), Ionin (2004), Didot (1989) and Lancelot (1044) are cited in text but not given in the reference list. Please provide.	
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